

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-55APPLICATION NO.
09/967,272SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Carlton Bartels et al.CONFIRMATION NO.
6788FILING DATE
September 28, 2001GROUP ART UNIT
3624

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SS	2001/0032168	10/18/2001	Adamson et al.	705	37	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
SS	"The world's first markets for trading emissions credits . . .," Dow Jones Business News, October 17, 2000.
SS	"Cantor Fitzgerald and PricewaterhouseCoopers to Create Global Hub of Carbon Commerce: Market to be Powered by B2B Leader, eSpeed," Business Wire, October 17, 2000.
SS	"Cantor Fitzgerald and PricewaterhouseCoopers to Create Global Hub of Carbon Commerce: Market to be Powered by B2B Leader, eSpeed," Press Release, October 17, 2000.
SS	John J. Fialka, "New Emission-Credits Market Is Expected To Resemble Fast Commodity Exchange," The Wall Street Journal, October 17, 2000.
SS	"EU Introduces 10-Year Environment Plan," Reuters, October 17, 2000 as published by EIN Publishing Daily Newsletter "Global Warming Today," dated October 26, 2000.
SS	"Cantor Fitzgerald, PWC Join on GHG Trading," Business Wire, October 17, 2000 as published by EIN Publishing Daily Newsletter "Global Warming Today," dated October 26, 2000.
SS	Jason T. Cone, "Trading greenhouse gas emissions goes online," Earth Times News Service, October 18, 2000.
SS	"Want to Trade in Carbon Emission Credits? CO2e.com Is the Answer!", EarthDot, October 18, 2000.
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SS	Andrew C. Revkin, "7 Companies Agree to Cut Gas Emissions," The New York Times, October 18, 2000.
SS	"Cantor and PricewaterhouseCoopers Develop On-line Greenhouse Market," The Oil Daily, October 20, 2000.
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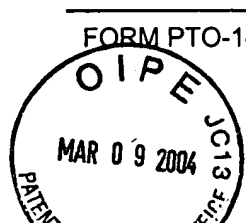
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Zanara Snapp

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SS	"CO2 May Cause Ragweed to Increase," M2 Communications, EIN Publishing, October 24, 2000 as published by EIN Publishing Daily Newsletter "Global Warming Today," dated October 26, 2000.
SS	"CO2e.com – The Global Hub for Carbon Commerce," Environmental Finance Supplement, October 2000.
SS	Cheryl A. McMullen, "Web site fosters emissions trading," Waste News, November 6, 2000.
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SS	Eric Sorenson, "Washington State Researchers Monitor Forests to Help Save Environment," The Seattle Times, November 13, 2000.
SS	"CO2e.com, the Global Hub for Carbon Commerce, Goes Live at COP6 Climate Conference," Business Week, November 14, 2000.
SS	Vanessa Houlder, "Business sees green controls as a prospect, not just a cost," Financial Times, November 18, 2000.
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SS	"Global Marketplace for Carbon Commerce Opens for Business," Press Release, November 20, 2000.
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SS	Steve Levine, "Decline in Industry Proves Profitable for Soviet Plants," Wall Street Journal, November 21, 2000.
SS	"Consultants PricewaterhouseCoopers and financial brokers Cantor Fitzgerald have launched a global Internet exchange for trading greenhouse gas emissions," ZD Net Australia, November 21, 2000.
SS	"Ontario Power Generation buys carbon credits," Megawatt Daily, November 21, 2000.
SS	"Canadian group agrees to CO2 reduction deal," Megawatt Daily, November 21, 2000.

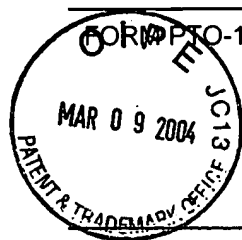
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SS	Simon Mann, "Pollution traders ready to clean up," The Sydney Morning Herald, November 23, 2000.
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SS	Nick Hordern, "Global warming: the greens have the numbers," Financial Review (UK), November 25, 2000.
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SS	"Online trading – emissions," European Chemical News, November 27, 2000.
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SS	Ron Feemster, "Don't like emissions? Trade 'em under Kyoto," undated.

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